



# Ownership of Offeror



# Background

## Federal Acquisition Regulations (FAR): Commercial and Government Entity Code; FAR Case 2012-024.

- This rule uses the unique identification that a CAGE/NCAGE Code provides to identify vendor representation of Immediate Owner and Highest-Level Owner CAGE/NCAGE Codes (If applicable).
- There will be a requirement to answer questions within the CAGE/NCAGE Code section in the System for Award Management (SAM).

The FAR changes only apply to those domestic and foreign entities interested in obtaining Federal Contracts.

For the final rule amendment see FAR Case 2012-024:

<http://www.regulations.gov/#!documentDetail;D=FAR-2012-0024-0004>



# Highest-Level Owner

## Domestic Entities

Highest-Level Owner and Immediate Owner must have a CAGE Code even if they have no intention of obtaining Federal Contracts.

- Highest-Level Owner and Immediate Owner will be able to apply for a CAGE Code via the DLA Enterprise Website (CAGE Code Request or Update) in lieu of registering in SAM.

[www.dla.mil/CAGECodeRequestOrUpdate](http://www.dla.mil/CAGECodeRequestOrUpdate)

## Foreign Entities

Highest-Level Owner and Immediate Owner must have a NCAGE Code even if they have no intention of obtaining Federal Contracts.

- Foreign Entities should contact their local NCB (National Codification Bureau) office to apply for an NCAGE Code.
- Foreign Entities can find their local NCB information located on page 6 of the pdf file:

<https://eportal.nspa.nato.int/AC135Public/Docs/US%20Instructions%20for%20NSPA%20NCAGE.pdf>



# Ownership of Offeror Defined

- **Entity** – In business, an entity is a person, department, team, corporation, cooperative, partnership, or other group with whom it is possible to conduct business.
- **Offeror** – A person or entity who makes a specific proposal to another (the Offeree) to enter into a contract (the business or organization registering in SAM).
- **Immediate Owner** – An entity, other than the Offeror, that has direct control of the Offeror. Indicators of control include, but are not limited to, one or more of the following: ownership or interlocking management, identity of the interests among family members, shared facilities and equipment, common use of employees.
- **Highest-Level Owner** – The entity that owns or controls an Immediate Owner of the Offeror, or that owns or controls one or more entities that control an Immediate Owner of the Offeror. No entity owns or exercises control of the Highest-Level Owner.
- **CAGE Code Request or Update**– Highest-Level Owner and or Immediate Owner that will not be performing on Federal Contracts must obtain a CAGE code via the CAGE Code Request or Update that can be found on the DLA Enterprise Website. [www.dla.mil/CAGECodeRequestOrUpdate](http://www.dla.mil/CAGECodeRequestOrUpdate)

Example



There will be only one Highest-Level Owner.



# CAGE Ownership Details

Register Entity	<b>CAGE Ownership Details</b>		
	DUNS: 999999999		
Registration Overview	<p>Page Description</p> <p>Federal Acquisition Regulation (<a href="#">FAR Subpart 4.18</a>) requires that you provide information about your entity's ownership and control as part of your SAM registration. We are gathering this information now to populate a <a href="#">provision</a> you will certify to later in Reqs &amp; Certs. If you don't know your owner's CAGE/NCAGE Code, you can <a href="#">look it up</a> . If your owner is located in the U.S. or its territories, not required to register in SAM, and doesn't have a CAGE Code, they need to <a href="#">get a CAGE Code</a> before you can complete your registration. If your owner is located outside the U.S. or its territories, not required to register in SAM, and doesn't have an NCAGE Code, they need to <a href="#">request an NCAGE Code</a> right away.</p>		
Purpose of Registration			
<b>Core Data</b>			
✓ DUNS Information			
✓ Verify DUNS Information			
✓ Business Information			
✓ IRS Consent			
✓ CAGE or NCAGE Code			
<b>➔ CAGE Ownership Details</b>			<p><b>Does another entity own or control the entity you are registering?*</b>      <input checked="" type="radio"/> Yes      <input type="radio"/> No</p> <p>This is your <a href="#">Immediate Owner</a>.</p>
✓ General Information			<p><b>Is your Immediate Owner located outside the U.S. and its territories?*</b>      <input type="radio"/> Yes      <input checked="" type="radio"/> No</p> <p><b>Enter your Immediate Owner's CAGE Code.*</b></p> <p>Select "Verify" to see the information for that CAGE Code. If this represents your Immediate Owner, answer the next question. If not, enter a different CAGE Code and select "Verify." <a href="#">Look up</a> the CAGE Code if you don't know it. *</p>
✓ Financial Information	<p>Enter Owner's (N)CAGE Code      <b>VERIFY</b></p>		
✓ Executive Compensation Questions	<p><b>CAGE Details for Immediate Owner</b></p> <p>CAGE Code:                      ABCD2</p> <p>Legal Business Name:        Your company</p> <p>Address Line 1:                Your address</p> <p>City:                                Your city</p> <p>State/Province:                Your zip</p> <p>Zip/Postal Code:                Your country</p> <p>Country:</p>		
✓ Proceedings Questions			
✓ Information Opt-Out			
✓ Review Core Data			
Assertions			

Does your entity have an Immediate Owner? If **NO** select Save and Continue. If **YES...**



Is the Immediate Owner located outside of the U.S. and its territories? If **NO** provide Immediate Owner CAGE Code and select verify. If **YES** continue to slide 6...



# CAGE Ownership Details Continued

Register Entity	CAGE Ownership Details	
	DUNS: 999999999	
Registration Overview	<p>Page Description</p> <p>Federal Acquisition Regulation (<a href="#">FAR</a>) <a href="#">Subpart 4.18</a> requires that you provide information about your entity's ownership and control as part of your SAM registration. We are gathering this information now to populate a <a href="#">provision</a> you will certify to later in Reqs &amp; Certs. If you don't know your owner's CAGE/NCAGE Code, you can <a href="#">look it up</a> . If your owner is located in the U.S. or its territories, not required to register in SAM, and doesn't have a CAGE Code, they need to <a href="#">get a CAGE Code</a> before you can complete your registration. If your owner is located outside the U.S. or its territories, not required to register in SAM, and doesn't have an NCAGE Code, they need to <a href="#">request an NCAGE Code</a> right away.</p>	
Purpose of Registration		
Core Data		
✓ DUNS Information		
✓ Verify DUNS Information		
✓ Business Information		
✓ IRS Consent		
✓ CAGE or NCAGE Code		
→ CAGE Ownership Details	<p><b>Does another entity own or control the entity you are registering?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p>This is your <a href="#">Immediate Owner</a>.</p> <p><b>Is your Immediate Owner located outside the U.S. and its territories?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p><b>Does your Immediate Owner already have an NCAGE Code?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p><b>Enter your Immediate Owner's NCAGE Code.*</b>            Select "Verify" to see the information for that NCAGE Code. If this represents your Immediate Owner, answer the next question. If not, enter a different NCAGE Code and select "Verify." <a href="#">Look up</a> the NCAGE Code if you don't know it. *</p> <p>Enter Owner's (N)CAGE Code <input type="text" value="VERIFY"/></p>	
✓ General Information		
✓ Financial Information		
✓ Executive Compensation Questions		
✓ Proceedings Questions	<p>CAGE Details for Immediate Owner</p> <p>NCAGE Code: ABCD2</p> <p>Legal Business Name: Your company</p> <p>Address Line 1: Your address</p> <p>City: Your city</p> <p>State/Province:</p> <p>Zip/Postal Code: Your zip</p> <p>Country: Your country</p>	
✓ Information Opt-Out		
✓ Review Core Data		
Assertions		

If your Immediate Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Immediate Owner and select verify.



# Foreign Registrants whose Immediate or Highest-Level Owner does not have an NCAGE Code will have 90 days to supply an NCAGE Code before the registration expires.

The screenshot displays the SAM registration interface. On the left is a navigation menu with categories like 'Purpose of Registration', 'Core Data', and 'CAGE Ownership Details'. The main content area shows a 'Page Description' and a form with radio buttons for 'Yes' and 'No'. A red-bordered alert box is overlaid on the form, containing the following text:

**Alert**

You will have ninety days after you submit your registration to come back and provide your Immediate Owner's NCAGE Code. If they haven't already, your Immediate Owner should request an NCAGE Code right away using the NCAGE Request Tool link in the Page Description section. If you do not log into SAM and update your registration to provide your Immediate Owner's NCAGE Code within ninety days, your SAM registration will expire.

At the bottom right of the alert box is an 'OK' button. Below the alert box, the form question reads: 'Does another entity own or control your Immediate Owner?\*' with radio buttons for 'Yes' and 'No'. At the bottom of the page are buttons for 'CANCEL', 'PREVIOUS', and 'SAVE AND CONTINUE'.



## CAGE Ownership Details Continued

Does another entity own or control your Immediate Owner?  
If **NO** select Save and Continue.  
If **YES**...



Is your Highest-Level Owner located outside of the U.S. and its territories? If **NO** provide Highest-Level Owner CAGE Code and select verify. If **YES** continue to slide 9...

Representations and Certifications	<p><b>Does another entity own or control your Immediate Owner?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p>Answer the following questions about the <a href="#">Highest-Level Owner</a> in the entire organization.</p>
Points of Contact	
Submit Certification	<p><b>Is your Highest-Level Owner located outside the U.S. and its territories?*</b> <input type="radio"/> Yes <input checked="" type="radio"/> No</p>
<p><a href="#">BACK TO USER DASHBOARD</a></p>	
<p><b>Enter your Highest-Level Owner's CAGE Code.*</b> Select "Verify" to see the information for that CAGE Code. If this represents your Highest-Level Owner, select "Save and Continue." If not, enter a different CAGE Code and select "Verify." <a href="#">Look up</a> the CAGE Code if you don't know it. *</p> <p>Enter Owner's (N)CAGE Code <input type="text" value="VERIFY"/></p>	
<p>CAGE Details for Highest-Level Owner</p> <p>CAGE Code: ABCD3            Legal Business Name: Your company            Address Line 1: Your address            City: Your city            State/Province:            Zip/Postal Code: Your zip            Country: Your country</p>	
<p><a href="#">CANCEL</a> <a href="#">PREVIOUS</a> <a href="#">SAVE AND CONTINUE</a></p>	





## CAGE Ownership Details Continued

If your Highest-Level Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Highest- Level Owner and select verify.

Representations and Certifications	<p><b>Does another entity own or control your Immediate Owner?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p>Answer the following questions about the <a href="#">Highest-Level Owner</a> in the entire organization.</p>
Points of Contact	
Submit Certification	<p><b>Is your Highest-Level Owner located outside the U.S. and its territories?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p><b>Does your Highest-Level Owner already have an NCAGE Code?*</b> <input checked="" type="radio"/> Yes <input type="radio"/> No</p> <p><b>Enter your Highest-Level Owner's NCAGE Code.*</b>          Select "Verify" to see the information for that NCAGE Code. If this represents your Highest-Level Owner, select "Save and Continue." If not, enter a different NCAGE Code and select "Verify." <a href="#">Look up</a> the NCAGE Code if you don't know it. *</p> <p>Enter Owner's (N)CAGE Code <input type="text"/> <input type="button" value="VERIFY"/></p>
<p>CAGE Details for Highest-Level Owner</p> <p>NCAGE Code: ABCD3</p> <p>Legal Business Name: Your company</p> <p>Address Line 1: Your address</p> <p>City: Your city</p> <p>State/Province:</p> <p>Zip/Postal Code: Your zip</p> <p>Country: Your country</p>	
<p><input type="button" value="BACK TO USER DASHBOARD"/> <input type="button" value="CANCEL"/> <input type="button" value="PREVIOUS"/> <input type="button" value="SAVE AND CONTINUE"/></p>	



## Contact the Federal Service Desk for assistance with [System for Award Management \(SAM\)](#)

### Hours of Operation

Monday - Friday 8 a.m. to 8 p.m. ET

**U.S. Calls:** 866-606-8220

**International Calls:** 334-206-7828

**DSN:** 866-606-8220

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